

## Financial Services Guide

Issued 31 January 2014

This Financial Services Guide (FSG) is provided by Hamilton Asset Management Limited ACN 139 473 699 (“we”, “us” or “our”) Australian Financial Services Licence (AFSL) No. 343546.

This FSG is an important document, which we are required to give you in accordance with our AFSL

This FSG will help you to understand the financial services that we provide and decide whether you should use our services.

This FSG includes details about:

- how we can be contacted;
- the financial services we are authorised to provide; and the functions we perform;
- our service fees and charges
- how we are remunerated; and
- our dispute resolution procedures and how you can assess them.

If you choose to use invest in any of our products or use any of our services, you may also receive a Product Disclosure Statement (PDS) or offer document relating to the product or service, which you should read carefully. The PDS or offer document contains information necessary for you to make an informed decision about that product or service.

The information contained in this FSG is general information only and has been prepared without taking into account any particular person’s financial situation, needs or objectives. The services described in this FSG may not be suitable for you.

### Hamilton Asset Management Limited

We (through our representatives) provide the financial services described in this FSG on our own behalf.

We are part of the Hamilton Capital Proprietary Limited group of companies (the Hamilton Group). Other entities in the Hamilton Group may provide services to us in respect of the products and services we may provide to you, and may receive

fees for those services, as described in the relevant PDS or offer document.

### Contact details

Level 1  
10 Murray Street  
Hamilton NSW 2303  
Telephone +61 2 4920 2877

Email [mail@hamiltonam.com.au](mailto:mail@hamiltonam.com.au)

Website [www.hamiltonam.com.au](http://www.hamiltonam.com.au)

### Financial services and products that we are authorised to provide

We are authorised to offer the following financial services:

- provide financial product advice and deal in the following classes of financial products:
  - deposits;
  - derivatives;
  - foreign exchange contracts;
  - debentures, stocks or bonds issued or proposed to be issued by a government;
  - interests in managed investment schemes;
  - securities;
- operate specified registered managed investment schemes which hold financial assets and derivatives; and
- provide custodial or depository services other than in relation to an investor directed portfolio service.

## How you can give us instructions

You can give us instructions by telephone, mail, email, or facsimile. There may be special arrangements for some products – details are explained in the relevant Product Disclosure Statement or offer document.

## Payments to Hamilton Asset Management Limited for the services provided

If you invest in a product, or use a service, we provide, we will receive remuneration in relation to your investment in that product or use of the service. This remuneration may include upfront fees, management fees (which includes transaction, ongoing and if applicable any performance fees or borrowing costs) and brokerage. For some products and services exit fees, account fees and transaction fees may apply. The remuneration we will receive for the products and services we offer are set out in the Product Disclosure Statement or relevant offer document for that particular product or service.

Any financial product advice provided by us is free of charge.

We maintain a register that details the material alternative forms of remuneration that we pay to distributors of our products, or receive from providers of products that are available through us. The register is publicly available and you can obtain a copy by contacting us.

## The privacy of your personal information

The privacy of your personal information is important to us. We collect your personal information to ensure that we are able to provide you with the products and services that you ask us to provide to you.

We have adopted the set of principles set out in the Privacy Act as part of our continuing commitment to client service and maintenance of client confidentiality. For further details, please refer to our privacy policy at [www.hamiltonam.com.au](http://www.hamiltonam.com.au) or ask your representative for a copy.

## What should I do if I have a complaint?

If you are not satisfied with the services you receive from us, please contact us on +61 2 4920 2877.

If you are not satisfied with our response, please provide a written complaint to:

Compliance Officer,  
Hamilton Asset Management Limited  
PO Box 402,  
Hamilton NSW 2303

If you are not satisfied with our response to your written complaint and wish to proceed further, you may refer the matter to an independent complaint handling body.

Hamilton Asset Management Limited is a member of the Financial Ombudsman Service Limited (“FOS”), a body recognised by the Australian Securities and Investment Commission.

For further information please contact FOS at:

Mail: PO Box 3  
Melbourne VIC 3001  
Telephone: 1300 780 808  
Facsimile: 03 9621 6399

We have professional indemnity insurance in place that satisfies the requirements for compensation arrangements under section 912B of the Corporations Act of the Corporations Act.